

Disclosure of Price-Sensitive Information: Legal Perspective in Hong Kong

By Low Chee Keong

This seminar will introduce participants to the regulatory framework that governs the disclosure of price-sensitive information in the city-state of Hong Kong, with reference to Hong Kong's Express Rail Link and the Mass Transit Railway ("MTR") Corporation.

The speaker will explain why:

- the disclosure of a two-year delay to the project via a press statement breaches the Securities and Futures Ordinance;
- the failure of the regulator to act on this does not bode well for the enforcement of securities laws in Hong Kong.

About the Speaker

Low Chee Keong ("CK") is an Associate Professor in Corporate Law at the Chinese University of Hong Kong Business School, with research interests in issues pertaining to corporate governance and the regulatory framework of capital markets. His research, which has been published in journals in Australasia, Europe and the United States of America, is supported by private sector grants from Ernst and Young, Noble Group, Sino Group and Tricor Services.

An advocate and solicitor of the High Court of Malaya, CK is a member of the Securities and Futures Appeals Tribunal as well as the Process Review Panel of the Financial Reporting Council in Hong Kong. He was previously a member of the Listing Committee of The Stock Exchange of Hong Kong from May 2006 to July 2010, and the Financial Reporting Review Panel from July 2007 to July 2013. He served as a Director of the Asian Institute of Finance in Malaysia for three years until April 2012.

He was elected as a Fellow of the Institute of Chartered Secretaries and Administrators and the Hong Kong Institute of Chartered Secretaries in 2013.



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2 Sept 2016 (Friday) 2:30 pm to 4:30 pm

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Registration for the event will begin at 2:00 pm



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Bar Council Continuing Professional Development Department
15 Leboh Pasar Besar
50050 Kuala Lumpur
Tel: 03-2050 2050



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- (1) Direct deposit to Hong Leong Bank Berhad, No 9 Jalan Tun Tan Cheng Lock, 50000 Kuala Lumpur (Account No: 283-00-00108-6; SWIFT Code: HLBBMYKL); or
- (2) Cheque or bank draft, payable to "Bar Council"; or
- (3) Cash payment, at the ground floor of the Bar Council Secretariat.

All payments must be made in advance of the event, and include all bank charges. Registration will be confirmed once proof of payment is provided by email or fax, and full payment is received.

Seats will be allocated on a first-come, first-served basis.

Cancellations must be made in writing. There will be no refunds for cancellations made after **30 Aug 2016** (**Tuesday**) but substitutions are allowed. The organiser reserves the right to modify, cancel or postpone the event, should circumstances arise that make such action necessary, whereupon all registration fees paid will be refunded.

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